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| APPLICATION NO.   | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|---|----------------|----------------------|-------------------------|------------------|
| 09/782,941  | 02/14/2001     | Eugene F. Rock       | T9089                   | 2326             |
| 7:  | 590 09/24/2002 |                      |                         |                  |
| Clifton W. Thompson<br>THORPE, NORTH & WESTERN, L.L.P.<br>P.O. Box 1219 |                |                      | EXAMINER                |                  |
|   |                |                      | DINH, TIEN QUANG        |                  |
| Sandy, UT 84091-1219  |                | ART UNIT             | PAPER NUMBER            |                  |
|   |                |                      | . 3644                  |                  |
|   |                |                      | DATE MAILED: 09/24/2002 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

## 09/782.941 ROCK, EUGENE F. Office Action Summary Examiner **Art Unit** 3644 T. Dinh -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). **Status** Responsive to communication(s) filed on 01 July 2002 1)🛛 2a) □ This action is **FINAL**. 2b) This action is non-final. Since this application is in condition for allowance except for formal matters, prosecution as to the merits is 3)□ closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-26 is/are pending in the application. 4a) Of the above claim(s) 4, 5, 7, 9, 11-13, 17-20, 23-26 is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-3,6,8,10,14-16,21 and 22 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner. If approved, corrected drawings are required in reply to this Office action. 12) The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. §§ 119 and 120 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) $\square$ All b) $\square$ Some \* c) $\square$ None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). a) The translation of the foreign language provisional application has been received. 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s). 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Notice of Informal Patent Application (PTO-152) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4, 7. 6) Other: U.S. Patent and Trademark Office PTO-326 (Rev. 04-01) Office Action Summary Part of Paper No. 10

Application No.

Applicant(s)

Application/Control Number: 09/782,941

Art Unit: 3644

### **DETAILED ACTION**

#### Election/Restrictions

Applicant's election of species A in Paper No. 8 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

Claims 4, 5, 7, 9, 11-13, 17-20, 23-26 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Election was made **without** traverse in Paper No. 8.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

Claims 1, 6, 8, 10, 14, and 16 are rejected under 35 U.S.C. 102(b) as being anticipated by Sweden (172,026).

Sweden disclose a helicopter having a coaxial rotor set having a first rotor carried by a first shaft, a second rotor carried by a second shaft, the first rotor (the lower one in figure 4) has cyclic pitch control (to control pitch and roll) while the second rotor does not.

Claim Rejections - 35 USC § 103

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The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 2, 3, ■, 15, 21, and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sweden (172,026) in view of German '795.

Sweden discloses all claimed parts except for the use of an airfoil/yaw paddle disposed in a downwash from the rotor set to control yaw. However, German '795 discloses that yaw paddles are well known in the art.

It would have been obvious to one skilled in the art at the time the invention was made to have used yaw paddles in Sweden's system as taught by German '795 to create greater yaw control.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

German 750975, Haines, Bluhm, Ostrowski, Ramme, Apostolescu and Nelson disclose aircraft means.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to T. Dinh whose telephone number is 703-308-2798.

The examiner can normally be reached on Monday Through Friday 8-6, alternate Monday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Jordan can be reached on 703-306-4159. The fax phone numbers for the organization where this application or proceeding is assigned are 703-306-4195 for regular communications and 703-306-4195 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-306-4177.

T. Dinh Examiner Art Unit 3644

TD September 19, 2002

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